

jc784 U.S. PTO  
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Docket No. ZEPHA-00-001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Art Unit:  
Thomas Becker and Aaron Moore )  
Serial No. [Not yet assigned] ) Examiner:  
Filed: March 8, 2000 )  
For: "MOUSE DRIVEN SPLITTER )  
WINDOW"

jc675 U.S. PTO  
09/520403  
03/09/00

CERTIFICATE OF MAILING

"Express Mail" mailing label no: EK175756388US  
Date of Deposit: March 8, 2000  
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

on 3-8-00 Linda Barron  
Date Linda Barron

TRANSMITTAL LETTER

Honorable Assistant Commissioner  
for Patents  
Box Patent Application  
Washington, D.C. 20231

Sir:

Enclosed for filing please find the patent application for an invention entitled, "MOUSE DRIVEN SPLITTER WINDOW", filed on behalf of Zephyr Associates, Inc., assignee from inventors Thomas Becker and Aaron Moore, including nineteen (19) pages of specification, two (2) pages of claims, twelve (12) sheets of drawing figures, one (1) page of Appendix A, and one (1) page of Abstract. Also enclosed herewith are the Assignment and Recordation Cover Sheet, Declaration/Power of Attorney and Verified Statement Claiming Small Entity Status.

The attorney's Docket Number is ZEPHA-00-001.

Kindly address all communications regarding this application to:

Michael S. Brandt  
Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
Telephone (775) 586-9500

A check in the amount of \$385.00 is enclosed for the filing fee for a small entity, calculated as follows:

Basic Filing Fee: \$ 345.00

Recordation of Assignment Fee: \$40.00

Total Filing Fee: \$ 385.00

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Assistant Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-0612. A duplicate of this page is enclosed.

Respectfully submitted,  
Sierra Patent Group, Ltd.

  
Michael S. Brandt  
Reg. No. 39,119

Dated: March 8, 2000

Sierra Patent Group, Ltd.  
P.O. Box 6149  
Stateline, NV 89449  
(775) 586-9500

Applicant or Patentee: Aaron Moore and Thomas Becker

Serial or Patent No.: Not yet assigned

Filed or Issued: Attached hereto

For: **"MOUSE DRIVEN SPLITTER WINDOW"**

**VERIFIED STATEMENT (DECLARATION) CLAIMING  
SMALL ENTITY STATUS (37 C.F.R. 1.9(f) and 1.27(c))  
SMALL BUSINESS CONCERN**

I hereby declare that I am

- the owner of the small business concern identified below:
- an official of the small business concern identified below and empowered to act on its behalf.

NAME OF BUSINESS: Zephyr Associates, Inc.

ADDRESS OF BUSINESS: 312 Dorla Court, Zephyr Cove, Nevada 89448

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled:

**"MOUSE DRIVEN SPLITTER WINDOW"**

by inventor(s): Aaron Moore and Thomas Becker

described in:

- X the specification filed herewith
- application serial No. xxx, filed xxx.
- patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than an inventor who qualifies as an individual inventor pursuant to 37 C.F.R. §1.9(c), who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which

would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).\*

\*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME: Zephyr Associates, Inc.

ADDRESS: 312 Dorla Court, Zephyr Cove, Nevada 89448

Individual  Small Business Concern  Nonprofit Organization

FULL NAME:

ADDRESS:

Individual  Small Business Concern  Nonprofit Organization

FULL NAME:

ADDRESS:

Individual  Small Business Concern  Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which the verified statement is directed.

Zephyr Associates, Inc.  
312 Dorla Court  
P.O. Box 12368  
Zephyr Cove, Nevada 89448

Signature

Date

Stephen Hardy  
Print Name

President  
Title